

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	ADPT105101
First Inventor	B. Arlen Young
Title (As Amended)	A HARDWARE I/O CONTROL BLOCK STRUCTURE FOR MIRRORED AND NON-MIRRORED DATA TRANSFERS
Express Mail Label No.	EV 329978887 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Mail Stop Patent Application
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Alexandria VA 22313-1450

- ☒ Fee Transmittal Form (e.g., PTO/SB/17) 2 pages
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status.
See 37 CFR 1.27.
- ☒ Specification [Total Pages 30]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 8]
- Oath or Declaration [Total Sheets 2]
 - ☐ Newly executed (original or copy)
 - ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ Paper
 - ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☒ Assignment to Adaptec, Inc. filed in Serial No. 10/051,907 and recorded at Reel/Frame 012516/0801 (copy not enclosed)
- ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☒ Information Disclosure 5 pages total ☒ Copies of IDS
Statement (IDS)/PTO-1449 Citations 18 Total
- ☒ Preliminary Amendment 6 Pages
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- ☒ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
- ☒ Other: Check in amount of \$770.00

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 10/051,907

Prior application information: Examiner: Tanh Q. Nguyen Art Unit: 2182
For CONTINUATION OR DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number: 23513 OR ☐ Correspondence address below

Name	Gunnison, McKay & Hodgson, L.L.P.		
Address	1900 Garden Road, Suite 220		
City	Monterey	State	CA
Country	US	Telephone	(831) 655-0880
		Fax	(831) 655-0888

Name (Print/Type)	Forrest Gunnison	Registration No. (Attorney/Agent)	32,899
Signature		Date	02/12/2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): B. Arlen Young

Assignee: Adaptec, Inc.

Title: A HARDWARE I/O CONTROL BLOCK STRUCTURE FOR
MIRRORED AND NON-MIRRORED DATA TRANSFERS (AS
AMENDED)

Serial No.: Unknown Filed: February 12, 2004

Divisional of Serial No. 10/051,907 Filed January 16, 2002

Examiner: Tanh Q. Nguyen Group Art Unit: 2182

Docket No.: ADPT105101

Monterey, CA
February 12, 2004

Mail Stop Patent Application
Honorable Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

FEE TRANSMITTAL FORM

Please calculate the claim fees after entry of the enclosed Preliminary Amendment.

Fee Calculation					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee
					\$770.00
Total No. of Claims	14	- 20 =	0	X \$18.00	\$ 0.00
No. of Independent Claims	2	- 3 =	0	X \$86.00	\$ 0.00
Multiple Dependent Claims				\$290.00	0.00
Total of above Calculation					\$770.00
Reduction by 50% for filing by Small Entity (Enter 0.5 for small entity)					1.0
Filing Fee					\$ 770.00

GUNNISON, McKAY &
BODGSON, L.L.P.
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(831) 655-0880
Fax (831) 655-0888

FEE PAYMENT

Enclosed are:

Filing Fee	\$ 770.00
Assignment Recording Fee	00.00
Total Fees Enclosed	\$ 770.00

A check in the amount of \$ 770.00 is enclosed.

The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 50-0553.

Respectfully submitted,

EXPRESS MAIL LABEL NO.
EV 329978887 US



Forrest Gunnison
Attorney for Applicant(s)
Reg. No. 32,899
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NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor		B. Arlen Young
	Title	A HARDWARE I/O CONTROL BLOCK STRUCTURE FOR MIRRORED AND NON- MIRRORED DATA TRANSFERS (AS AMENDED)	
	Attorney Docket Number		ADPT105101

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

February 12, 2004
Date


Signature

(831) 655-0880
Telephone number

Forrest Gunnison, Reg. No. 32,899
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22312-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.